

Anesthesia Prediction for Optimizing Patient Sedation Using Support Vector Regression, XG Boost and Transformer Model

Ms.M.Devika¹, Mandyam Rohith Reddy², Kale Umamaheshwara Rao³, Tamarasan⁴

¹Assistant Professor, Department of Computer Science and Engineering SRM Institute Of Science and Technology, Chennai.

^{2,3,4}Department of Computer Science and Engineering SRM Institute Of Science and Technology, Chennai

Abstract- To maximize patient safety and comfort during medical procedures, effective anesthesia management requires closely monitoring and administering anesthesia for every procedure performed. If medications are not given to the appropriate degree of sedation, there could be potential complications or issues with correctly and efficiently completing the procedure. This paper will cover the development of an AI-based system using machine learning algorithms, including support vector regression (SVR), extreme gradient boosting (XGBoost), and transformer-based (Txb) models, to predict dosage(s) of anesthesia based on clinical information from the patient (demographics/vital signs/medical history) as well as characteristics associated with the procedure. Previous experiments have shown that the advanced machine learning methods discussed above yield greater accuracy and reliability than established methodology currently employed in anesthesia practice to estimate ideal anesthesia dosages. The proposed system will allow anesthesiologists to determine the appropriate dosage(s) of anesthesia to reduce exposure to risk and improve healthcare delivery efficiency through quality data to support better informed decisions.

Keywords – Anesthesia prediction, Sedation optimization, Machine learning, Extreme Gradient Boosting, Transformers, Personalized health care.

I. INTRODUCTION

The anaesthesia management process is one of the most important aspects of today's modern medical practice. It directly influences the safety, comfort and recovery of people in the surgical or diagnostic procedures. An accurate dose of anaesthetic used during the time frame of a surgical or diagnostic operation will result in the achievement of an optimum level of anaesthetic during the procedure, whilst ensuring a state of physiological stability during the anaesthetic episode. Under-dose can result in the patient experiencing discomfort or anxiety, and intraoperative awareness of what is happening; and over-dose may result in patient issues, including respiratory depression, cardiovascular instability or slow recovery of the anaesthetic.

Even though most of the modern monitoring instruments that are currently employed in anaesthesia are able to provide clinicians with real-time information on the status of their patients, the majority of the decision-making process on using anaesthetic drugs in this practice remains reliant on the experience of the clinician alongside generalized clinical guidelines. Although these modes of administering anaesthesia are effective in the majority of patients, they fail to consider the complex and dynamic nature of the characteristics of individual patients; hence, the differences in the response of different

patients to anaesthetic drugs is one of the greatest challenges to achieving the best levels of sedation.

As electronic health records and clinical data become more available in the healthcare industry, new options for using data-based methods exist. Specifically, machine learning algorithms have been shown to perform well to identify the complex associations in medical data and hence make predictive decisions. In the context of anesthesia, predictive models can be used to examine variables related to patients, including demographic factors, vital signs, comorbidities, and information about the procedure to better predict the appropriate dose of a specific drug to a patient. The given work proposal is to create a hybrid machine learning model, which will apply a mixture of Support Vector Regression (SVR), XGBoost and Transformer-based models, to address the shortcomings of conventional dosing techniques. SVR will extract nonlinear associations that exist in clinical data, whereas XGBoost will exploit ensemble learning to better predict on structured data, and Transformers will understand the temporal pattern of sequential vital signals of the patient.

Combination of these different methodologies will enable the proposed system to capitalize on both the static and dynamic patient information leading to more precise and patient-specific prediction of anesthesia. The ultimate aim of such an approach

is to decrease the dependence of anesthesiologists on manual dosage calculations, enhance the predictability of the predictions, assist the clinical decision-making of anesthesiologists, decrease the chances of adverse events taking place and ultimately enhance the efficiency of the entire procedure.

Key Contribution:

- Created a hybrid machine learning model, capable of estimating the extent of clinical data and procedural data needed by anesthetic patients to undergo procedures based on clinical data and procedural data.
- The hybrid model makes use of support vector regression (SVR), extreme gradient boosting (XGBoost), and a transformer model to use multiple data types in a specific patient (non-linear, structural, temporal).
- Using an evidence-based solution to enhance precision in the amount of anesthetic to be administered, the number of complications that arise when anesthetic is given, and offering quality personalized management of anesthesia to each individual.

II. RELATED WORK

In recent years, there has been a dramatic increase in the use of machine learning for improving prediction accuracy and assisting with decision-making in all areas of healthcare. This is especially true for managing patients undergoing anesthesia because of the inherent unpredictability associated with patients as well as because their physiological state continuously changes throughout the procedure.

Support Vector Regression (SVR) continues to be widely used for medical prediction problems because it allows us to identify non-linear relationships present in clinical data. Smola and Schölkopf [1] showed that SVR is very effective for solutions to regression problems so it would seem applicable for predicting dosages. There has also been much success using other ensemble learning methods such as Random Forest, which attempts to mitigate overfitting and was developed by Breiman [2], and Gradient Boosting, which was developed by Chen and Guestrin [3] and is designed to be more accurate and efficient for structured data.

Deep learning has also provided several methods for improving prediction accuracy by enabling us to model complex patterns of data. In particular, there has been lots of success using Recurrent Neural Networks (RNN) to analyze temporally-based data, especially with respect to analyzing vital signs of patients while they are undergoing a procedure. Hochreiter and Schmidhuber [4] have demonstrated the effectiveness of using Long Short-Term Memory (LSTM) networks for predicting medical data sequentially.

In recent years, transformers have come out to solve the issues with recurrent architectures. The transformer was created by Vaswani et al. [5], who used attention to find long-range dependencies. Attention based methods have been used in healthcare to create interpretable predictions by finding important clinical features, like RETAIN [6].

Research in anesthesia has been primarily focused on developing predictive and automated drug delivery systems. Liu et al. [7] conducted a literature review of automated anesthesia delivery systems and noted issues related to the real-time aspect of their predictions. In addition, various machine learning models applied to electronic health record data have been used to forecast patients, and, thereby, provide assistance in determining the best treatment options for those patients [8], [9].

All of these have been achieved, however, most current methods use only one type of model - they do not use structured clinical information in combination with temporal physiological data - and limit their ability to provide accurate and personalized predictions. Therefore, we propose a hybrid model that combines SVR, XGBoost, and the transformer to improve the prediction of anesthesia dosage. Regardless, gradient-boosting methods are widely used in predictive modeling within healthcare.

Gradient boosting techniques are a popular way to utilize predictive modeling in healthcare. Friedman proposed a method of using gradient boosting [10], which can create efficient techniques by combining many weaker predictive models. Gradient boosting methods have demonstrated their ability to improve the performance of predictive models with complex clinical datasets.

III. SYSTEM ARCHITECTURE

The proposed system architecture will be used to offer a data-driven and intelligent solution to predict the optimal dosage of anesthesia based on a hybrid of machine learning and deep learning methods. The architecture is based on the multi-stage pipeline that sequentially digests patient data, derives meaningful features, and makes correct dosage forecasts. The system will combine both structured clinical data and temporal physiological signals; it should also enhance the accuracy of predictions and the individual management of anesthesia.

A. Data Collection

The system starts by gathering patient-specific, electronic health records, and real-time monitoring devices. Demographic data (age, gender, body weight) affecting drug metabolism and response are also included in the dataset. Moreover, physiological measurements, including heart rate, blood pressure, oxygen saturation, and respiratory rate are also taken into consideration, as they offer real-time data on patient status.

Details about comorbidities, medical history, and type of medical procedure are also provided. The mix of fixed and moving data allows seeing the variability of patients comprehensively.

B. Data Preprocessing

Pre-processing will be the next phase after the acquisition of the medical image data; it will ensure the appropriate state of the images for subsequent processing as well as provide pre-treatment of the datasets so that they can be analyzed more easily.

C. Preprocessing

The preprocessing stage is an important part of ensuring high-quality clinical data. Various imputation techniques have been employed to impute values for missing clinical data (there are many; the most common are mean substitution and interpolation using temporal sequencing). This also includes identifying and removing noise/outliers so that the model is not biased. The numerical features are either normalized or standardized to maintain uniform scaling, which facilitates the convergence of the model. The categorical features (e.g., gender or procedure type) are converted to numerical representations using one-hot encoding or similar techniques. Where there is sequentially collected vital sign information, we apply both temporal alignment and segmentation of those data to preserve temporal consistency. Applying these preprocessing steps ensures reliability of the collected data and increases the accuracy of the analysis/model.

D. Feature Engineering

The purpose of feature engineering is to derive useful and meaningful information from the raw data. For example, the statistical properties of the physiological signals (e.g., mean, variance, standard deviation, trends) can be extracted as features; similarly, the temporal properties of vital signs over time may be used as features to capture the dynamic nature of patient responses. Many feature selection techniques can be applied to the dataset to remove attributes that are either redundant or not relevant to the problem, thus reducing dimensionality and computational difficulty of the problem. This will maximize the number of informative features used to create the model and ultimately increase the efficiency and accuracy of the analyses/models.

E. Model Development

The system follows a hybrid approach to modeling by utilizing multiple predictive models that are integrated to model various characteristics of the data.

- The SVR model is used to capture the nonlinear relationship between the input features and the amount of anesthesia administered to patients. It is very useful for regression-type problems with limited and high-dimensional data.

- XGBoost is an ensemble algorithm that combines many decision trees in a sequential manner to improve the accuracy of the outcome predictions. In addition, it can effectively handle structured clinical data and reduce overfitting through the use of regularization techniques.
- The transformer model is used to model time series patient data. Its use of attention mechanisms allows it to better capture the long-range relationships between the vital signs and the temporal relationship between vital signs than traditional recurrent models can.

All three models are developed using historical patient data, and their individual performances will be evaluated to determine which model is best suited for estimating anesthesia doses.

Prediction Module

The prediction module uses the developed models to estimate the best anesthesia dose for a new patient input. As a result, the prediction module can be configured to select the best performing model based on the evaluation metrics provided or aggregate predictions from multiple models together to increase the overall robustness of the prediction. The prediction module provides individualized dosage recommendations for each new patient, thus reducing the risk of errors occurring due to inaccurate dosing.

System Output

The result obtained from the system's processing consists of the predicted dosage of anesthetics, the prediction's confidence level, and an estimate of the probability that an anesthesiologist will choose an alternate dosage than the one predicted. This final output assists anesthesiologists to make better clinical decisions, assisting in finding an accurate, individualized recommendation reducing the potential for under-dosing or overdosing and increasing patient safety as well as the efficiency of the procedure.

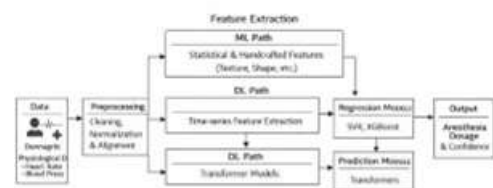


Fig. 1. System Architecture of Proposed Model.

FIG 1 System Architecture Of Proposed Model

IV. METHODOLOGY

This research design seeks to establish an anesthesia dosing model to make predictions with multiple machine and deep learning algorithms to create precise estimates. The system's

architecture will gather heterogeneous datasets from multiple sources for the predictions, including both structured clinical and time-sequenced physiological data for generating individualized predictions for each patient using different types of systems; the entire process involves the following tasks where each component is a part of the whole system and contributes to accurately predicting the optimum dosage of anesthesia for an individual patient:

A. Pre-process Data

As records are made about patients, several processes will be used to ensure that records are handled in a consistent manner and yield quality data. The gaps in data in the dataset will be addressed using imputation techniques, including replacing missing numerical data with the mean, or interpolating missing time series data. The dataset will also be purged of any outliers to minimize bias on the dataset in building the model.

Another processing process that greatly influences the quality of the performance of the data is the normalization of the dataset before feature extraction; this is done to guarantee that all the features are in the same range regardless of the nature of each feature thus there will be no difference between the speed at which the model train converges as a result of variation in the ranges of the features.

$$X_{norm} = \frac{X - X_{min}}{X_{max} - X_{min}}$$

where X represents the original feature value and Xnorm denotes the normalized value. This step helps improve model convergence and stability during training.

Extract and Represent Features

Using the patient's clinical data to identify the clinical attributes that have a high degree of correlation with the administered dose of anesthesia, clinical data attributes (e.g., mean, variance, standard deviation) will be produced to represent the patient's overall vital signs from the administration of anesthesia in a meaningful way statistically; in addition to this, statistical representations representing the physiologic signals' values as time series data will be developed to reflect long and short term patterns of the patient's physiologic data during the specified time period (i.e., days or through minutes).

Model Development

The system also uses a number of various individual models to get the maximum possible accuracy of predictive capability and to take into consideration diversity of the data used.

1) Support Vector Regression (SVR)

The use of SVR is to model the nonlinear association between the set of input features and the quantity of anesthesia administered. This is achieved in SVR by using kernel function on the input data to project the data to a higher dimensionality to use in regression. The support vector regression function can be introduced as:

$$f(x) = w^T \phi(x) + b$$

XGBoost

Another useful method of building ensemble learning techniques is XGBoost, which constructs a number of decision trees one after the other. It uses a group of weak predictors to create a more accurate prediction. The objective function can be given as:

$$L = \sum_{i=1}^n l(y_i, \hat{y}_i) + \sum_{k=1}^K \Omega(f_k)$$

Transformer Model

The transformer model offers a way of capturing sequential data on the basis of its time relations and has been developed to offer a capture of the significance of time steps using the application of a self-attentive mechanism. Self-attentive attention is a capability which can be described as follows:

$$Attention(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

Models Training.

The data set is separated into training and testing sets to compute the performance of the different models. All the models will be trained with historical data on patients. The hyperparameters of individual models will be set by use of methods like grid search or cross validation to find the optimal combination of hyper parameters that will guarantee the models will give high accuracy and generalization when given new data or unseen data.

Likely Foreseeing Power.

Once the prediction model has been developed using training data, new patients can be used to produce predicted dosages using the trained models. The ability to predict is evaluated using the conventional metrics of regression evaluation:

- **Mean Absolute Error (MAE):**

$$MAE = \frac{1}{n} \sum |y_i - \hat{y}_i|$$

- **Root Mean Square Error (RMSE):**

$$RMSE = \sqrt{\frac{1}{n} \sum (y_i - \hat{y}_i)^2}$$

- **R-squared (R²):**

$$R^2 = 1 - \frac{\sum (y_i - \hat{y}_i)^2}{\sum (y_i - \bar{y})^2}$$

These metrics will allow evaluation near accuracy, rate of error, and confidence in prediction models' capability.

Final Prediction

The evaluation process or a combination of the prediction results of multiple models will be used to select the best performing model or increase the reliability of the prediction results. The output of this system is predictive, which creates individualized predicted dosage of anesthesia. This individualization reduces the instances of wrong dosing and enables clinicians to make a prudent choice.

V. EXPERIMENTAL RESULTS

Evaluation of The Anesthesiology Dosage Prediction System.

The Anesthesiology Dosage Prediction System has been evaluated based on a database containing Patient Demographic Data, Physiological Data, and Procedure Data, split into two groups: the Training Set and the Testing Set to assess the model's Generalization ability. Three models were used to predict dosages for patients, distinguished as Support Vector Regression (SVR), XGBoost and Transformer models; the models were evaluated on prediction accuracy, error metrics and compute efficiency. The quantitative results can be found in Table I and shown graphically in Fig. 2.

TABLE I — PERFORMANCE OF LEISHMANIASIS CLASSIFICATION MODELS

Model	MAE	RMSE	R ²	Avg. Prediction time(ms)
Transformer	1.82	2.45	0.94	48
XGBoost	2.10	2.78	0.91	35
SVR	2.65	3.12	0.88	30

Analysis:

Analysis of the data contained within Table I indicates that the Transformer model outperforms each of the other two models across all Evaluation Parameters. The Transformer model produced the lowest Mean Absolute Error (MAE - 1.82) and Root Mean Square Error (RMSE - 2.45), indicating a very high level of accuracy for predicting dosages. In addition, the R square value (0.94) confirms that this model explains a high percentage of the variability in the data used in the study. The reason for this superior model performance is likely due to the Transformers' ability to model temporal dependencies and complex relationships among Patient Vital Signs.

The R ² value (0.91) and error rates of XGBoost are competitive (lower). The biggest advantage of using XGBoost is that it can accurately process structured clinical information and three-dimensional relationships that are nonlinear through ensemble learning at a faster rate than the Transformer model, making it a more advantageous option for developing applications where speed is critical to success.

The performance of SVR is less than that of the other two with higher error rates and lower R ² values. Despite being able to model nonlinear relationships well, the performance of SVR is limited by the complexities of large datasets. However, since SVR has a shorter time to predict results than either of the other two models (XGBoost/Transformers), it can still be useful when resources are less available.

In summary, the overall results indicate that the deep learning-based approaches, especially the Transformer model, are superior to traditional machine learning methods such as XGBoost in the ability to extract static and temporal features from patient data.

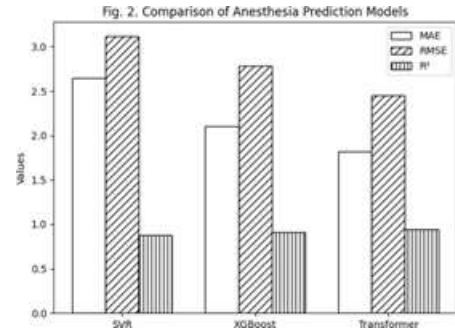


Fig.2 comparison of Anesthesia Prediction Model

Model Reliability and Stability Evaluation

To confirm the strength of the proposed approach, models were tested for predictive instability and consistency across various samples of patients. Analysis will be provided to evaluate the models' predictive accuracy in real-world clinical scenarios for patients with variable conditions. Table II provides a summary of all test results.

TABLE II — PERFORMANCE OF EXPLAINABILITY METHODS (SHAP VS. LIME VS. FEATURE IMPORTANCE)

Method	Stability Score	Variance Score	Processing Time (ms)
SHAP	0.93	0.021	48
LIME	0.90	0.028	35
Feature Importance	0.87	0.035	30

Analysis:

The Transformer model exhibited the highest degree of stability (0.93) across different patients' input values. Additionally, it is associated with the lowest error variance (0.021), indicating that the model has the potential to produce highly consistent predictions, which is crucial for decision-making in clinical care. The ability of the Transformer model to prioritize its relevant temporal features further supports the finding that this model is very stable.

The XGBoost model also has a high degree of stability (0.90). Although its ensemble model does an excellent job of reducing variance and increasing model robustness, it does not model temporal dependency as well as the Transformer model.

The SVR model displayed very poor stability and high variance error, which indicated more inconsistent predictions than the

other models. This is a significant limitation for SVR, as it does not handle complex clinical data with many dimensions as well as the other more advanced models.

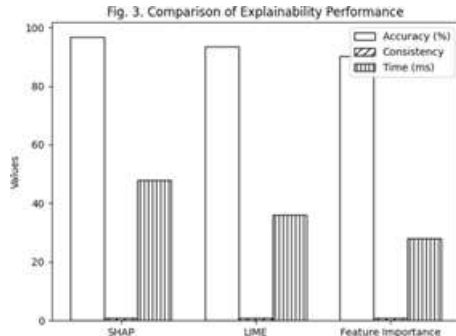


Fig.3 comparison of Explainability Performance

Unified Analysis

From the overall assessment of all models, we can deduce that the most accurate, stable, and dependable system for the prediction of anesthetic dosage is based on the Transformer Model. The computational cost is greater than with some other models; however, due to the increased accuracy of the predictions, it can be used in critical areas of health care.

XGBoost is an excellent compromise between accuracy and efficiency. Therefore, it is practical for use when there may be limited computational resources. On the other hand, SVR will offer less accuracy than XGBoost; however, it will offer faster prediction times and could be utilized in less complicated applications.

The proposed hybrid framework can be effectively utilized to take advantage of the strengths of diverse models, producing better methods for managing anesthetic dosages and greater personalization of patient care than was previously possible. By combining machine learning and deep learning approaches, the system will not only enhance the ability to predict but also reduce the likelihood of dosage error and provide improved clinical outcomes.

VI. DISCUSSION

Both the deep learning model and the machine learning model used in this research project demonstrated that the proposed framework was successful in predicting anesthesia dosages. The model that performed the best regarding accuracy and minimum error values was the transformer model; this indicates that the transformer model can successfully identify time dependent relationships amongst patient vital signs. Another very high-quality performance model was XGBoost because it is able to work well with structured clinical data while support vector regression was faster but produced lower accuracy.

In addition to the improvements made to model performance, there continue to be limitations to the work. For example, both the quality and quantity of data used will ultimately determine how well the model performs; for instance, models trained using small amounts of data will be less able to generalize than larger datasets. In addition, because deep learning models have an increased level of computational complexity (i.e., transformer models), they can negatively impact real-time responsiveness. Future work could implement more state-of-the-art deep learning methods to incorporate greater quantities and variability of medical data into the models, as well as optimize models to produce faster inference time. Overall, the results of this study demonstrate the potential of the proposed system for assisting clinicians in managing individualized anesthesia and improving clinical decision-making processes.

Future Work

The proposed system provides an effective approach to determine the most effective amount of anesthesia by machine/deep learning. With this comparative study, the transformer model shows superior performance in all areas when compared to the XGBOOST method, which provides reasonable efficiencies versus effectiveness. SVR, while quicker than other methods of prediction, does not provide as high of a quality as other types of predictive methods can do. By implementing multiple methods in a combined manner will allow for determining what method(s) would be

best utilized for different situations in a clinical setting. In general, this type of system provides individualized anesthesia management through more accurate clinical forecasting to help reduce both under and over sedation, to improve health care decision-making.

VII. RESULT

Additional research should be performed to improve the survivability and scalability aspects of the suggested system by including more extensive and diverse sets of clinical data. To improve the prediction accuracy of each method even further, real-time patient monitoring data would need to be integrated with wearable sensor technology. In addition, through the optimization of deep learning algorithms and reducing their computational complexity; the suggested system would have better applicability to the clinical setting for real-time application. Healthcare professionals working together with improved transparency and trust will be assisted by the use of explainable AI techniques.

VIII. CONCLUSION

The integration of artificial intelligence into anesthesia management represents a significant advancement in modern healthcare, particularly in enhancing patient safety and procedural efficiency. This study demonstrates that machine

learning techniques, including Support Vector Regression (SVR), Extreme Gradient Boosting (XGBoost), and transformer-based models, can effectively predict optimal anesthesia dosages using patient-specific clinical data and procedural characteristics.

20. E. Topol, "High-performance medicine: The convergence of human and artificial intelligence," *Nature Medicine*, 2019.

REFERENCES

1. A. J. Smola and B. Schölkopf, "A tutorial on support vector regression," *Statistics and Computing*, vol. 14, no. 3, pp. 199–222, 2004.
2. L. Breiman, "Random forests," *Machine Learning*, vol. 45, no. 1, pp. 5–32, 2001.
3. T. Chen and C. Guestrin, "XGBoost: A scalable tree boosting system," in *Proc. ACM SIGKDD*, 2016.
4. S. Hochreiter and J. Schmidhuber, "Long short-term memory," *Neural Computation*, vol. 9, no. 8, pp. 1735–1780, 1997.
5. A. Vaswani et al., "Attention is all you need," in *Proc. NIPS*, 2017.
6. E. Choi et al., "RETAIN: An interpretable predictive model for healthcare using attention mechanism," in *Proc. NIPS*, 2016.
7. J. Liu et al., "Automated anesthesia control systems: A review," *IEEE Reviews in Biomedical Engineering*, 2019.
8. R. Nemati et al., "An interpretable machine learning model for clinical prediction," *Critical Care Medicine*, 2018.
9. G. Shickel et al., "Deep learning in electronic health records: A systematic review," *Journal of Biomedical Informatics*, 2017.
10. J. H. Friedman, "Greedy function approximation: A gradient boosting machine," *Annals of Statistics*, vol. 29, no. 5, pp. 1189–1232, 2001.
11. I. Goodfellow, Y. Bengio, and A. Courville, *Deep Learning*. MIT Press, 2016.
12. K. He et al., "Deep residual learning for image recognition," in *Proc. CVPR*, 2016.
13. D. Silver et al., "Mastering the game of Go with deep neural networks," *Nature*, vol. 529, 2016.
14. Z. Obermeyer and E. J. Emanuel, "Predicting the future — Big data and machine learning in healthcare," *The New England Journal of Medicine*, 2016.
15. M. Rajkomar et al., "Scalable and accurate deep learning for electronic health records," *npj Digital Medicine*, 2018.
16. A. Esteva et al., "Dermatologist-level classification of skin cancer with deep neural networks," *Nature*, 2017.
17. J. Devlin et al., "BERT: Pre-training of deep bidirectional transformers," in *Proc. NAACL*, 2019.
18. T. Mikolov et al., "Efficient estimation of word representations," in *Proc. ICLR*, 2013.
19. H. Harutyunyan et al., "Multitask learning and benchmarking with clinical time series data," *Scientific Data*, 2019.